# Self-Representationalism and the Explanatory Gap

Uriah Kriegel

## Introduction/Abstract

According to the self-representational theory of consciousness – *self-representationalism* for short – a mental state is phenomenally conscious when, and only when, it represents itself in the right way. Part of the motivation for this view is a conception of phenomenal consciousness as involving essentially a subtle, primordial kind of self-consciousness. A consequence of this conception is that the alleged explanatory gap between phenomenal consciousness and physical properties is *eo ipso* an explanatory gap between (the relevant kind of) self-consciousness and physical properties. In this paper, I consider how self-representationalism might address this explanatory gap. I open with a presentation of self-representationalism and the motivation for it (§1). After introducing the explanatory gap, and suggesting that on self-representationalism it would apply to self-consciousness (§2), I present what I take to be the most promising self-representational approach to the explanatory gap (§3). That approach is threatened, however, by an objection to self-representationalism, due to Levine, which I call the *just more representation* objection (§4). I close with a discussion of how the self-representationalist might approach the objection (§5).

#### 1. Self-Representationalism

In *Subjective Consciousness: A Self-Representational Theory* (henceforth, SC), I develop and defend a specific version of self-representationalism. Self-representationalism can be formulated as follows:

(SR) Necessarily, for any mental state M, M is phenomenally conscious iff M represents itself in the right way.

Different versions of SR can be obtained by unpacking "in the right way" in different ways. My own version construes "the right way" as "non-derivatively, specifically, and essentially."<sup>1</sup>

What motivates SR, at least to me, is a certain conception of the structure of phenomenal character. As I look at the blue sky, I undergo a conscious experience, and there is a bluish way it is like for me to undergo that experience. This "bluish way it is like for me" is the experience's phenomenal character. As Levine (2001) notes, there is a conceptual distinction to be drawn between two components of this "bluish way it is like for me": (i) the bluish component, and (ii) the for-me component. I call the former *qualitative character* and the latter *subjective character* (Kriegel 2005, 2009). To a first approximation, the experience's bluish qualitative character is what makes it the experience it is, but its for-me-ness is what makes it an experience at all. A better, if initially less clear, approximation is this: my experience is the experience it is because it is bluish-for-me, and is an experience at all because it is somehow-for-me (or qualitatively-for-me).<sup>2</sup> Thus qualitative character is what varies among conscious experiences, while subjective character is what is common to them.

Many philosophers have assumed that the core of the problem of consciousness is qualitative character, but an interesting result of the above conception of the structure of phenomenal character is that it is actually subjective character that is more central (Levine 2001; Kriegel 2009). Although it is important to understand what accounts for the differences among conscious experiences, it is more central to the problem of consciousness to understand what distinguishes conscious experiences from non-conscious mental states. According to Levine and me, the deeply mystifying feature of phenomenal consciousness is that when I have a conscious experience, the experience does not occur only *in me*, but also *for me*. There is some sort of direct presence, a subjective significance, of the experience to the subject. This is of course not uncontroversial, but I will not argue for it here. What I want to focus on is the inference *from* this conception of the structure of phenomenal character *to* self-representationalism.

Self-representationalism is essentially an account of subjective character: it claims that a mental state has subjective character just in case, and because, it represents itself in the right way.<sup>3</sup> The argument for this can be thought of as proceeding in three stages. Here I will only sketch the argument; for details, see Ch.4 of SC.

First, for a conscious experience to be not only *in* me, but also *for* me, I would have to be *aware* of it. The awareness in question need not be particularly focused or attentive. But there must be some minimal awareness of a mental state if the state is to be described as exhibiting "for-me-ness." So we can reason as follows:

- 1) Necessarily, for any mental state M and subject S, such that S is in M, M is phenomenally conscious iff M has subjective character (is *for* S).
- 2) Necessarily, for any mental state M and subject S, such that S is in M, M has subjective character (is *for* S) iff S is aware of M in the right way. Therefore,
- Necessarily, for any mental state M and subject S, such that S is in M, M is phenomenally conscious iff S is aware of M in the right way. (1,2)

This is the first stage of the argument. It takes us from phenomenal character to awareness.

The second stage employs crucially a pair of relatively uncontroversial lemmas, to the effects that (a) being aware of something is a matter of representing it and (b) representing something is a matter of being in mental state that represents it:

- 4) Necessarily, for any entity X and subject S, S is aware of X in the right way iff S has a representation of X of the right kind. (Lemma)
- 5) Necessarily, for any entity X and subject S, S has a representation of X of the right kind iff there is a mental state M\*, such that (i) S is in M\* and (ii) M\* represents X in the right way. (Lemma) Therefore,
- Necessarily, for any mental state M and subject S, such that S is in M, M is phenomenally conscious iff there is a mental state M\*, such that (i) S is in M\* and (ii) M\* represents M in the right way. (3,4,5)

This is the second stage, which takes us from awareness to representation.

The third stage takes us from representation to self-representation. It does so by first setting up a dilemma – are the conscious state and its representation numerically distinct or numerically identical? – and then offering considerations in favor of the latter horn. Thus:

- 7) For any mental states M and M\*, either M=M\* or  $M\neq M*$ . (Excluded middle)
- 8) Necessarily, for no mental state M and subject S, such that S is in M, M is phenomenally conscious iff there is a mental state M\*, such that (i) S is in M\*, (ii) M\* represents M in the right way, and (iii) M≠M\*. Therefore,
- 9) Necessarily, for any mental state M and subject S, such that S is in M, M is phenomenally conscious iff there is a mental state M\*, such that (i) S is in M\*, (ii) M\* represents M in the right way, and (iii) M=M\*. (6,7,8)

The conclusion, Proposition 9, is equivalent to SR. The negation of Premise 8, while not equivalent to the so-called higher-order theory of consciousness, is a commitment of that theory.<sup>4</sup> What is needed to complete the argument are considerations that support Premise  $8.^{5}$ 

In Ch.4 of SC I offer a battery of considerations against higher-order theory, hence in favor of Premise 8. I cannot go through all of them, and anyway many are familiar from the literature. But the consideration which is least familiar, yet which personally has been most persuasive to me, can be put thus: for-me-ness is internal to the phenomenology of conscious experience – it is a component of phenomenal character, after all – and this cannot be accommodated by higher-order theory, only by selfrepresentationalism. There are two parts to this.

The first part is the claim that for-me-ness is internal to the phenomenology – that it is itself a conscious phenomenon. This seems to me self-evident. The very reason to believe in the for-me-ness of experience is fundamentally phenomenological: it is derived not from experimental research, nor from conceptual analysis, nor from any other sources, but rather from a certain first-person impression. This suggests that for-me-ness is phenomenologically manifest.<sup>6</sup>

7-4

The second part is the claim that only SR can accommodate the phenomenological manifest-ness of for-me-ness. The reasoning here is this. If the for-me-ness of a conscious mental state M is itself conscious, then the mental state that represents M, i.e. M\*, must be a conscious mental state. If M\* is numerically identical to M, as per SR, it is predictable that M\* be conscious, since M is conscious and M\*=M. But if M and M\* are numerically distinct, as per higher-order theory, M\*'s being conscious is not only inexplicable, but in fact leads straightforwardly to an infinite regress: M\*'s being conscious requires the postulation of a third-order M\*\*, and so on.

This argument is developed in much greater detail in SC, Ch.4. It amounts to splitting Premise 8 in the above argument into two parts:

- 8a) Necessarily, for any mental state M and subject S, such that S is in M, M is phenomenally conscious iff there is a mental state M\*, such that (i) S is in M\*, (ii) M\* represents M in the right way, and (iii) M\* is conscious. (Phenomenological observation)
- 8b) Necessarily, for no mental state M and subject S, such that S is in M, M is phenomenally conscious iff there is a mental state M\*, such that (i) S is in M\*, (ii) M\* represents M in the right way, (iii) M\* is conscious, and (iv) M≠M\*. (On pain of infinite regress)

Together, 8a and 8b entail 8. With 8 in place, and given our starting point in 1 and 2 and the relatively uncontroversial lemmas in 4 and 5, we obtain 9. Call this the *master argument for self-representationalism*.

#### 2. Self-Consciousness and the Explanatory Gap

I mentioned that, according to the conception of phenomenal character that motivates self-representationalism, the core of the problem of consciousness pertains to subjective character. This can be put in terms of the so-called explanatory gap (Levine 1983): while there may be some perplexity as to how we might reductively explain differences in phenomenal character in terms of neural activity, surely the heart of the philosophical

anxiety surrounding consciousness concerns how we might reductively explain the very existence of phenomenal character. It is the existence conditions of phenomenality, not its identity conditions, that present the deep mystery.

If this is right, then the core of the philosophical problem of consciousness is the explanatory gap between subjective character – the for-me-ness of conscious states – and physical properties. This is a surprising result, insofar as there is clearly a close connection between subjective character and self-consciousness, and yet it is a staple of recent discussions of consciousness that the explanatory gap is properly applied to phenomenal consciousness but *not* self-consciousness. In this section, I want to explore the connection between subjective character and self-consciousness, and its implications for the explanatory gap. More specifically, I want to argue that there are two different phenomena of self-consciousness, and while one of them is at most *contingently* connected to subjective character, the other is *essentially* connected.

The distinction between two phenomena of self-consciousness that I have in mind can be brought out by contrasting two types of *report* of self-consciousness:

- (R1) I am self-conscious of perceiving the laptop.
- (R2) I am self-consciously perceiving the laptop.

In R1, the self-consciousness term (if you please) is a transitive verb. If we take the surface grammar at face value, this suggests a *relation* between me and my perceiving. In R2, however, the self-consciousness term is an adverb, which suggests an *intrinsic modification* of my perceiving. That is, in R2 the self-consciousness term does not denote a state of standing in a relation to my perception (or my perceiving), but rather designates the *way* I am having (or doing) my perceiving. We may call the self-consciousness reported in R1 *transitive self-consciousness* and that in R2 *intransitive self-consciousness* (Kriegel 2003, 2004).

To draw a terminological, or even conceptual, distinction between transitive and intransitive self-consciousness is not to beg the question of whether they are two distinct and irreducible properties. Indeed, a natural thought is that intransitive self-consciousness is analyzable in terms of transitive self-consciousness. For example, one might hold that I

am self-consciously perceiving x iff I (i) am perceiving x and (ii) am self-conscious of perceiving x. On this suggestion, to perceive self-consciously is simply to perceive and be self-conscious of doing so.<sup>7</sup>

However, this particular analysis is belied by an important feature of the surface grammar of R1 and R2. In R1, the state of self-consciousness takes one's perception as an object. So the perception of which I am self-conscious and the state of selfconsciousness itself are treated as two *numerically distinct* mental states. By contrast, in R2 there is no numerical distinction between the perception and the state of selfconsciousness: the perception *is* the state of self-consciousness. Since the adverb 'selfconsciously' denotes a *way* I am having my perception, no *extra* act of self-consciousness need take place *after* the perception occurs. Rather, self-consciously is *how* the perception occurs.

The conceptual distinction between transitive and intransitive self-consciousness does not *entail* a corresponding metaphysical distinction, but it does create a *prima facie* case, or presumption, in favor of one. We may think of it as producing defeasible evidence for the metaphysical distinction. Until the evidence is actually defeated, by the presentation of a viable analysis of intransitive in terms of transitive self-consciousness, we ought to proceed on the assumption that these are two different properties. Elsewhere, I develop a more sustained argument to the effect that they are indeed two different properties (Kriegel 2004).

It is interesting to note a certain parallelism between the kind of phenomenon intransitive self-consciousness is and the nature of subjective character according to selfrepresentationalism. In the former, there turns out to be numerical identity between the conscious state and the state of self-consciousness; in the latter, between the conscious state and the subject's awareness of it. This may suggest that there is an intimate connection between intransitive self-consciousness and subjective character. The *simplest* account of this connection would be a certain *identity thesis*: subjective character *just is* intransitive self-consciousness. On this view, the fact that a conscious experience is *for the subject* and the fact that the subject self-consciously undergoes the experience is one and the same fact: to say that there is a way it is like for me to perceive the sky is to say that I self-consciously perceive the sky.

The reasoning we have pursued leads to an interesting conclusion: the core of the problem of consciousness is the explanatory gap between a certain kind of self-consciousness – namely, intransitive self-consciousness – and physical properties. It is the fact that we cannot reductively explain in terms of neural activity what makes it the case that a subject not only perceives x, but does so *self-consciously*, without quite being self-conscious *of* doing so, that is at the source of the philosophical anxiety surrounding consciousness.

In SC Chs.6-8, I suggest a potential neural reducer of subjective character. My strategy is to first specify the abstract structure involved in a mental state self-representing, then identify a neural structure that realizes this abstract specification. This means, in the first instance, getting clear on what is involved in self-representation, preferably in naturalistic terms. The natural approach to this challenge is to consult naturalistic theories of mental representation, and suggest that whatever natural relation they identify as underlying mental representation – informational, teleological, or what have you – is the kind of relation that mental states can sometimes bear to themselves. Call the kind of self-representation this would be *crude self-representation*. The problem with crude self-representation is that when we actually consult such theories as Dretske's (1981, 1988), Millikan's (1984), and Fodor's (1990), we find that they identify natural relations that are anti-reflexive: nothing can bear them to itself. At its heart, the problem is that these relations typically involve causal relations, and those are often anti-reflexive.<sup>8</sup>

In Ch.6 of SC, I offer an account of self-representation intended to make it consistent with naturalistic accounts of mental representation. To a first (and rough) approximation, the story is this. First, there is a distinction to be drawn between direct and indirect representation. For example, I might represent a house by representing its façade. In this case, I represent the façade directly and the house indirectly. Secondly, for M to self-represent is for M to have two parts, M1 and M2, such that M2 represents both (i) M1 *directly* and (ii) M *indirectly*. (M2 represents M *by* representing M1, just as a picture represents the house by representing its façade.) Thirdly, a naturalistic theory of mental representation can have two components: one accounting for *direct* representation in terms of the natural relation identified by the best naturalistic theory, and accounting

7-8

for *indirect* representation in terms of the combination of the relevant natural relation and some story about what makes it the case that a direct representation of x is also an indirect representation of y. Presumably, some relation must hold between x and y when and only when any direct representation of x also serves as an indirect representation of y; we may call the relation R that x bears to y just when this is so the *representation*transmission relation.<sup>9</sup> For example, a picture might represent a façade in virtue of bearing the right teleo-informational relation to it, and represent the house of which it is a façade in virtue of (i) bearing that relation to the façade and (ii) the façade bearing the representation-transmission relation to the house. When we put together all three elements into an overall account of self-representation, we obtain the following: a selfrepresenting mental state is a mental state with two parts, such that one part bears the right natural (e.g., teleo-informational) relation to the other part and this second part bears the representation-transmission relation to the whole of which they are both parts. More precisely: M represents itself iff there are states M1 and M2, such that (i) M1 is a proper part of M, (ii) M2 is a proper part of M, (iii) M1 bears the right natural relation to M2, and (iv) M2 bears the representation-transmission relation R to M. To distinguish it from crude self-representation, call this subtle self-representation.<sup>10</sup>

Once this relatively specific structure has been identified, we can seek brain structures and processes that implement it: neural structures we have good reasons to describe as involving two parts one of which bears the right natural relation to the other while the other bears the right representation-transmission relation to the whole of which they are both parts. Although an endeavor of this sort is extremely speculative at present, I indulge in it in Ch.7 of SC. With the aid of several empirical claims, the speculative hypothesis I arrive at is this: a conscious experience of blue, say, is realized by neural synchronization of activation in the right part of visual cortex – V4, as it happens – and the dorsolateral prefrontal cortex (dIPFC). The V4 activation realizes M2, the dIPFC activation realizes M1, and the neural synchronization between them realizes the cognitive-unity relation between M1 and M2 in virtue of which they are parts of a single state rather than two separate states. Thus a brain state such as this realizes the perceptual experience's qualitative character through the specific activation in sensory cortex (in this

7-9

case, the right subpopulation of neurons in V4) and its subjective character, or intransitive self-consciousness, through the neural synchronization with dIPFC activation.

Observe that this account of the neural implementation of self-representation, founded as it is on a distinction between crude and subtle self-representation, casts selfrepresentation as neurobiologically perfectly plausible. Prinz (this volume) complains of neurobiological implausibility in self-representationalism, on the grounds that neurons do not appear to represent themselves. This objection misfires, however, as subtle selfrepresentation does not require, and in fact shuns, the notion that some neurons represent themselves. What self-represents is not this or that neuron, but a structured neural state, which moreover self-represents only insofar as some part of it represents the whole of it.

In any case, given the argument of this section, the above account of neural implementation suggests that the alleged explanatory gap between consciousness and matter comes down to a much more specific explanatory gap between intransitive self-consciousness and synchronization with dIPFC activation. The problem of consciousness can thus be distilled into the problem of bridging the explanatory gap between intransitive self-consciousness and synchronization with dIPFC activation.

#### **3. Explanatory Gaps and Explanatory Sequences**

How *could* those brute and blind processes unfolding in the dark corners of dorsolateral prefrontal cortex constitute a subject's not only perceiving something, but perceiving it self-consciously, in a way the blindsight patient who perceives the same thing does not?

Consider a sorites series that takes you from a yellow circle to a red circle. As you are force-marched through the series, any pair of adjacent circles are visually indistinguishable to you, yet the first and last circles are very much distinguishable. In other words, when the steps in a sequence of this sort are small enough, the relation of visual indiscriminability will hold between the two sides of each step but not between the start and end points of the sequence. The relation of explainability – or perhaps just reductive explainability (as distinguished, say, from causal explainability) – might exhibit similar behavior, though perhaps for different reasons (not because it is vague). A series

In light of the previous sections, we might wish to consider the following sequence of proposed explanatory steps:

- Step 1: explain subjective character/intransitive self-consciousness in terms of a certain type of awareness
- Step 2: explain this type of awareness in terms of representation
- Step 3: explain the relevant kind of representation in terms of self-representation
- Step 4: explain the naturalistic possibility of self-representation in terms of subtle self-representation
- Step 5: explain subtle self-representation in terms of synchronization with dlPFC activation

Each step seems to involve an explanatory move that does not strike us immediately as outlandish: the gap between explanandum and explanans does not seem obviously unbridgeable. So the relevant explanation relation *does* hold within each step.<sup>11</sup> Yet the explanatory gap looms ominously when we consider, in one intellectual act as it were, intransitive self-consciousness and synchronization with dlPFC activity. The same sense of mystery obtains if we add:

Step 0: explain phenomenal consciousness in terms of subjective character/intransitive self-consciousness

This is the more familiar explanatory gap, between phenomenal consciousness to neural activation, which I claim is due to the more specific gap concerning subjective character or intransitive self-consciousness.

In any case, on this line of thought the explanatory gap arises because of an unwarranted expectation that a complex sequence of explanations could be appreciated in one intellectual act. When we look at water and H<sub>2</sub>O, a single intellectual act would leave us equally puzzled about how it is that the right interlocking of an oxygen atom and two

7-11

hydrogen atoms could make something *wet*. It is a general feature of the relationship between the manifest image and the scientific image that structures and processes from the latter do not illuminate ones from the former in such a direct way. The illumination is not provided in a single encompassing act of apprehension. Rather, it is appreciated indirectly through patient consideration of a sequence of local explanations too long or complex to grasp at once (see Pollock and Cruz 1999).<sup>12</sup>

7-12

Note as well that we are familiar, in everyday life, with two kinds of understanding. Sometimes we understand something in a purely intellectual, somewhat cold-blooded manner. On other, relatively rarer occasions, we understand something in a more visceral way, where we feel like we can *see* the truth (or plausibility) of some notion. Indeed, it sometimes happens that we understand something first in the cold-blooded manner and suddenly in the more visceral way. The latter experience of understanding is much more phenomenologically impressive, and is also more *satisfying* and more confidence-imbuing. But it is also rarer, and there is no reason to suppose that it is always available: there may be areas where the human cognitive system does not have the resources that would allow us to undergo the experience of this more visceral variety of understanding. We must there rest content with the phenomenologically lamer variety of understanding – and remember that it is still a variety of *understanding*.<sup>13</sup>

Taking these considerations into account, one may suggest that the explanatory gap is an illusion grounded in the attempt to take in a complex sequence of explanations in a single intellectual act.<sup>14</sup> The sequence may simply be too complex for us to do so successfully, in a way that summons the visceral phenomenology of understanding. But the other variety of understanding, the more "cold-blooded" variety, can still be enjoyed when we consider patiently the sequence of explanatory steps presented above, perhaps precisely because we do experience the visceral variety whenever we consider any single step in the sequence.

On this interpretation of the line of thought under consideration, there is no genuine explanatory gap between intransitive self-consciousness and synchronization with dlPFC activity. There *is* in fact a reductive explanation of the former in terms of the latter. It is just that this reductive explanation is not such as to elicit in us a visceral phenomenology of understanding.

The analogy with the sorites series points to a different interpretation, however. In that series, the red circle *really is* dissimilar to the yellow. The two are *not* visually indistinguishable. It is just that the continuity between them, which would otherwise be surprising, can be appreciated through the series. If we take the analogy at face value, intransitive self-consciousness *really is* unexplainable in terms of synchronization with dlPFC activity. There is no reductive explanation to be had of the former in terms of the latter. What there is, however, is a sort of intellectual domestication of consciousness without reductive explanation of it. This admittedly elusive intellectual domestication may allow us to accept that intransitive self-consciousness reduces to neural processes even though it is not reductively explainable in terms of them.

7-13

To clarify this approach, let me position it within the familiar dialectic around explanatory gap arguments. Start with the distinction between *ontological* and *epistemic* reduction. Let us say that F is *epistemically reducible* to G iff there is no explanatory gap between F and G; and that if there is an explanatory gap between F and every other property, such that F is not epistemically reducible to anything, then F is *epistemically primitive*. Correspondingly, let us say that F is *ontologically reducible* to G iff there is no ontological gap between F and G; and that if there is an ontological gap between F and every other property, such that F is not ontologically reducible to anything, then F is *ontologically primitive*. In these terms, the explanatory gap argument for dualism may be formulated as follows:

- 1) Intransitive self-consciousness is epistemically irreducible to physical properties;
- 2) If intransitive self-consciousness is epistemically irreducible to physical properties, then it is ontologically irreducible to them as well; therefore,
- If intransitive self-consciousness is ontologically irreducible to physical properties, then intransitive self-consciousness is ontologically primitive; therefore,
- 4) Intransitive self-consciousness is ontologically primitive.

Since the argument is valid, there are only three ways to deny it: some materialists would deny Premise 1, rejecting any explanatory gap for intransitive self-consciousness. Other

materialists would deny Premise 2, conceding an explanatory gap but rejecting the inference to ontological irreducibility. Finally, certain neutral monists would deny Premise 4, namely, those who posit a third type of property, neither physical nor conscious, and attempt to reduce both to it. Accepting all three premises, by contrast, leads one to dualism about intransitive self-consciousness.<sup>15</sup>

Sociologically speaking, most materialists would deny Premise 2: They would concede an explanatory gap but insist on ontologically reducibility.<sup>16</sup> What dualists find objectionable about such denial is that it allows for reduction that is not *epistemically* transparent (see Chalmers and Jackson 2001). The connection between two facts, p and q, is epistemically transparent to subject S just in case S can see why p should be the case given that q is the case. (For Chalmers and Jackson, epistemic transparency is achieved through a priori entailment: if p entails q, then the connection between them is epistemically transparent. Thus a priori entailment is sufficient for epistemic transparency. It is less clear whether it should be taken as necessary for it as well. In any case, a priori entailment does not seem to be *definitional* of epistemic transparency.) According to Chalmers and Jackson, ontological reduction requires epistemically transparent connections between reduced and reducer. Even in the case of water and H<sub>2</sub>O, although their identity is a posteriori, it is nonetheless epistemically transparent, in that a subject who knew all the non-identity truths about water and all the non-identity truths about H<sub>2</sub>O would be in a position to establish the identity of water and H<sub>2</sub>O. However, Chalmers and Jackson argue, once the connection between reduced and reducer is epistemically transparent, the reduction is not only ontological but also epistemic: one is in a position to *explain* the facts about the reduced in terms of the facts about the reducer.17

Applying Chalmers and Jackson's reasoning to the above argument for dualism about intransitive self-consciousness, we obtain the following defense of Premise 2 in the argument: 2.1) We are justified in holding that one property ontologically reduces to another only if the connection between them is epistemically transparent; but 2.2) When the connection between two properties is epistemically transparent, we are also justified in holding that one *epistemically* reduces to the other; therefore, 2.3) We are justified in holding that one property ontologically reduces to another only if we are also justified in

holding that one *epistemically* reduces to the other; so, 2) If intransitive selfconsciousness is epistemically irreducible to physical properties, then it is ontologically irreducible to them as well. What materialists typically reject in Chalmers and Jackson's reasoning is Premise 2.1: they insist that the paradigmatic ontological reductions (e.g., of water to H<sub>2</sub>O) is epistemically opaque (see Block and Stalnaker 1999). The debate then centers on the proper treatment of paradigmatic instances of ontological reduction.

The approach suggested above to the explanatory gap avoids such debates. For instead of denying Premise 2.1, it denies Premise 2.2, allowing that epistemic transparency can arise even in the absence of epistemic reduction. On the emerging view, a sequence of explanatory steps may be such that there is a genuine explanatory gap between the first and last items in the sequence, but the continuity that can be traced between them through consideration of the intermediary steps generates epistemic transparency in the entire sequence: the connection between the first and last items in the series is epistemically transparent to any subject who can follow each explanatory step in the series. Thus because every step in the series with which I opened this section is an instance of reductive explanation, and we can follow the sequence, the identification (or ontological reduction) of intransitive self-consciousness to synchronization with dIPFC activity is epistemically transparent; but because the relation of reductive explainability is not transitive – whether for reasons of vagueness or some other reasons – intransitive self-consciousness is not reductively explainable in terms of synchronization with dIPFC activity. This seems to be the correct analogy with the sories series of circles.

On this interpretation, the explanatory gap between intransitive self-consciousness (or subjective character) and synchronization with dIPFC activity is real, in that we cannot explain why the "subjective facts" (the facts of intransitive self-consciousness) are what they are in terms of the neural facts being what they are. Intransitive self-consciousness is genuinely epistemically irreducible to synchronization with dIPFC activity. Nonetheless, it does not follow that an ontological reduction of intransitive self-consciousness to synchronization with dIPFC activity must be epistemically opaque, leaving us with no insight into why it should be that intransitive self-consciousness is nothing but synchronization with dIPFC activity. On the contrary, by tracing a sequence of reductive explanations step by step, we can come to appreciate why it should be that

intransitive self-consciousness is nothing but synchronization with dlPFC activity, say – even though contemplating the notion that it is in a single intellectual act produces in us only the phenomenology of incredulity.<sup>18</sup>

To conclude. I started this section with an analogy between a sequence of (reductive) explanations leading from intransitive self-consciousness to the neural process of synchronization with dIPFC activity, on the one hand, and a sequence of visually indistinguishable pairs of circles leading from a yellow circle to a red one, on the other. I then offered two interpretations of the analogy. On the first interpretation, the explanatory gap between intransitive self-consciousness and synchronization with dIPFC activity is illusory: there is no explanatory gap between the two, but the appearance of such a gap arises from the unwarranted expectation that we undergo a visceral phenomenology of understanding upon contemplating the start and end points of the explanatory sequence.<sup>19</sup> A tighter analogy is offered by the second interpretation: the explanatory gap is genuine, in that we really do not understand how intransitive selfconsciousness could be nothing but synchronization with dIPFC activity, but nonetheless it is so reducible, and moreover in an epistemically transparent manner (thanks to the sequence of reductive explanations connecting the two). I am happy with either interpretation, but find the second vastly more satisfactory, insofar as it manages to respect rather than dismiss the force of the explanatory gap intuition.

## 4. Levine's "Just More Representation" Objection

This self-representational approach to the explanatory gap can be resisted in two main ways. One is to deny the general claim that a series of reductive explanations can underlie an epistemically transparent physicalistic reduction of intransitive self-consciousness even in the absence of reductive explanation of it in physical terms. The other is to claim that, however the general issue turns out, one of the five individual steps of reductive explanation I described in the previous section fails. The most acute criticism of selfrepresentationalism that takes this second form is developed by Levine (2006), who argues that the for-me-ness of experience cannot be recovered by self-representation, because the kind of awareness involved in it cannot be accounted for in terms of the notion of representation at play in the relevant type of self-representation. This is to reject Step 2 in the explanatory sequence (explaining awareness in terms of representation).

For Levine, self-representation cannot account for for-me-ness, because just as something needs to bestow for-me-ness – a "subjective significance" – on any old representation, so something needs to bestow that subjective significance on *self*-representations. As long as self-representing representations represent themselves in the same sense in which other-representing representations represent things other than themselves, it is not clear what would make the former "for the subject" even though the latter are not. Levine writes (2006: 194):

Subjectivity, as I described it earlier, is that feature of a mental state by virtue of which it is of significance for the subject; not merely something happening within her, but "for her." The self-representation thesis aims to explicate that sense of significance for the subject through the fact that the state is being represented. But now, what makes that representation itself of significance for the subject, and thus conscious?

The answer to this question cannot be, of course, that a self-representing representation is of significance to the subject because it represents itself to be self-representing. That would quickly lead to an infinite regress. The suspicion Levine raises is that there may not be a way to answer his question without invoking phenomenality.

Certainly what makes a representation "for the subject" cannot be what it represents. It cannot be that when a representation represents *x*, it is not for the subject, so that the subject does not self-consciously represents *x*, but when it represents *y*, it is. And at a first pass, it might seem that this is precisely what self-representationalism claims. It claims that what makes some representations "for the subject" is that what they represent is themselves. Yet the fact that a state represents itself rather than something other than itself does not dissolve the mystery involved in it representing whatever it does *to oneself*, i.e., in a self-conscious sort of way. Much more plausible is that representations endowed with subjective character, in virtue of which the subject represents selfconsciously, are of a categorically different kind from other representations. If this is right, then what gives such representations their subjective character, or intransitive selfconsciousness, is an aspect not of *what* they represent, but of *how* they represent – not their *object* of representation, but their *manner* of representation.

However, the heart of Levine's objection cannot be that representations have subjective character in virtue of how they represent and not what they represent. For this is something that standard versions of self-representationalism can accommodate. Compare "I am happy" and "my mother's nieceless brother's only nephew is happy." Both statements represent me as happy, but there is a sense (perhaps elusive, perhaps not) that the former does so *essentially* whereas the latter *accidentally*. In specifying what makes a "suitable" self-representation – the kind of self-representation that bestows subjective character or intransitive self-consciousness – it is natural for the self-representationalist to insist that only the essential variety is relevant. Only essentially accidentally self-representing states are not. The point is that *what* is represented in both essential and accidental self-representation is the same, so what accounts for the fact that only the former involves subjective character must be the *manner* of representation (*how* what is represented is represented).

The heart of the objection is therefore not the what/how (object/manner) distinction. Rather, it must be the thought that there is no way to account for the right *manner* of representation in non-subjective terms, as would be required for any ontological reduction of subjective character. Even if a certain non-subjective, non-phenomenal specification of the right manner were extensionally adequate, such that no counter-example could be found to the thesis that necessarily, a mental state has subjective character/intransitive self-consciousness iff it self-represents in that manner, we would still have on our hands an explanatory gap between subjective character/intransitive self-consciousness and this non-phenomenal specification of the relevant manner. It would still be unclear how this specific kind of self-representation, understood in non-phenomenal terms, could give rise to the distinctive kind of awareness of one's consciousness. Thus as long as representation is understood in non-phenomenal terms – certainly as long as it is understood in purely physical terms – it does not help to appeal specifically to *self*-representation.

The problem with self-representation, then, is that it is *just more representation*. As Levine (2006: 195) puts it,

Somehow, what we have in conscious states are representations that are intrinsically of subjective significance, "animated" as it were, and I maintain that we really don't understand how that is possible. It doesn't seem to be a matter of more of the same—more representation of the same kind—but rather representation of a different kind altogether.

7-19

The awareness we have of our conscious experiences, in virtue of which they are "for us," involves a kind of direct acquaintance with those states that brute representations simply do not seem to replicate, not even when they are representations of themselves. For a self-representation as for an other-representation, we can always ask: why is there something it is like *for me* to have this representation? Call this the *just more representation objection*.<sup>20</sup>

This objection undermines the self-representational approach to the explanatory gap presented in the previous section. For suppose it is true that epistemically transparent ontological reduction of intransitive self-consciousness can proceed without closing the explanatory gap, that is, without epistemic reduction. Suppose it is true that through a sequence of more local reductive explanations, we can obtain an epistemic reduction. Still, transparent ontological reduction that does not quite amount to epistemic reduction. Still, this kind of epistemically transparent ontological reduction, although possibly available in the case of water and H<sub>2</sub>O, is not available for intransitive self-consciousness and physical phenomena, because the reductive explanation of awareness in terms of representation (in Step 2 of the above explanatory sequence) fails.<sup>21</sup> What Levine's line of objection seems to press is the need for a *sui generis* notion of *representation-for-me*, a kind of primitive intentional relation borne by subjects, rather than by subjects' internal states. The problem with positing such a relation is that it seems to resist physicalist reduction. The upshot, in any case, is that the self-representational approach to the explanatory gap developed in §3 fails.<sup>22</sup>

### 5. Self-Representationalism and Epistemic Opacity

I think this is the deepest objection to self-representationalism. In fact, I am persuaded by Levine that there is something fundamentally mysterious about for-me-ness, hence intransitive self-consciousness, that is not removed simply by citing self-representation. Levine is right that the question of subjective significance applies with equal force to self-representation as to other-representation. For a self-representing state too, we can ask what it is about the state that makes it represent itself *to me*, rather than merely represent itself *in me*. In this section, I present the reaction I think a self-representationalist ought to have to Levine's objection; the reaction is more concessive than confrontational.

The first thing to point out is that although I would be keen to defend a version of self-representationalism that embraces epistemically transparent ontological reduction, self-representationalism as such admits of many varieties: a dualist variety, a materialist variety with epistemically opaque ontological reduction, a materialist variety with epistemic reduction, and even a neutral-monist variety.<sup>23</sup>

Recall that according to dualism about intransitive self-consciousness, intransitive self-consciousness is ontologically irreducible to any other properties, and is therefore ontologically primitive. An irresponsible kind of dualism would maintain that intransitive self-consciousness is completely dissociated and insulated from the physical realm. A responsible dualism would connect intransitive self-consciousness to the physical realm via laws of nature – probably causal laws – that dictate what instantiations of intransitive self-consciousness are caused (under what conditions) by what physical property instantiations. Because intransitive self-consciousness are ontologically primitive, on this view, these laws of nature would be themselves primitive. As a result, intransitive self-consciousness would supervene upon physical properties with *nomological* necessity, but not with *metaphysical* necessity. This is a sort of responsible dualist self-representationalism.<sup>24</sup> This dualist self-representationalism is not threatened by Levine's just more representation objection.

This is not surprising, since the objection is not *meant* to threaten them. But it does bring out the difference between self-representationalism as such and self-representationalism as an attempt to address the explanatory gap. The following two theses are obviously different:

(T1) Self-representationalism neutralizes the explanatory gap.<sup>25</sup>

7-21

(T2) Self-representationalism is true.

Levine's objection threatens T1, but not T2. It is thus not an objection to selfrepresentationalism as such, strictly speaking. It is an objection to something else.

This is important, because the master argument for self-representationalism (from §1) can be readily reframed in such a way that it does not *require* that the relevant kind of inner awareness be recovered by self-representation. The premises of the argument involve a modal operator, but while it is natural to interpret the modal force in those premises as *metaphysical*, the argument can be reframed as involving rather *nomological* necessity – without commenting on whether it is *merely* nomological necessity. Thus Premise 4 in the master argument could be reconstrued as follows:

4\*) Nonomologically-necessarily, for any entity X and subject S, S is aware of X in the right way iff S represents X in the right way.

With this weakened premise in place, and leaving all other premises untouched, we can obtain the following weakened conclusion:

9\*) Nonomologically-necessarily, for any mental state M and subject S, such that S is in M, M is phenomenally conscious iff there is a mental state M\*, such that (i) S is in M\*, (ii) M\* represents M in the right way, and (iii) M=M\*.

This guarantees that *at least* a dualist variety of self-representationalism is right. The weakened master argument thus concedes that self-representationalism may not recover for-me-ness, or subjective character, but insists on the following two points: (a) self-representationalism can at least *accommodate* this for-me-ness; (b) no other theory of phenomenal consciousness can accommodate it. This is not everything a self-representationalist might want, but it is not all that weak a conclusion either.

Of course, not only dualist versions of self-representationalism fail to neutralize the explanatory gap; materialist versions that embrace epistemically opaque reduction do

as well.<sup>26</sup> And so a self-representationalist might consider reverting to this sort of materialist self-representationalism in light of the just more representation objection, conceding that the reduction of subjective character, or intransitive self-consciousness, to self-representation is epistemically opaque – due to the epistemic opacity of explaining awareness in terms of representation. Thus someone who is impressed with both the weakened master argument for self-representationalism and the just more representation objection could still embrace the disjunction of this hard-nosed materialist self-representationalism and dualist self-representationalism. Both are forms of self-representationalism that cohabits with a persisting explanatory gap.

What would lead one to prefer such a materialist self-representationalism over dualist self-representationalism is, of course, an antecedent commitment to physicalism. Consider what Perry (2001) calls "antecedent physicalism," the view that physicalism should be our default position – we should be physicalists pending reasons not to be (physicalism is innocent until proven guilty, if you will). Someone who is impressed with both the weakened master argument for self-representationalism and the just more representation objection, but also embraces antecedent physicalism, would be naturally led to what we may call "antecedent materialist self-representationalism."<sup>27</sup>

For my part, this is indeed where I find myself led. I have already indicated why I am impressed with the weakened master argument for self-representationalism and the just more representation objection. As for antecedent physicalism, it should not be confused with physicalism as an unargued-for article of faith, nor with physicalism as an attitude rather than a thesis (Ney 2008), both of which do not call for argumentation.<sup>28</sup> An argument for antecedent physicalism is needed, but the argument needed is not nearly as strong as the argument needed to establish all-things-considered physicalism. What it calls for is a *prima facie* rather than *ultima facie* case for physicalism. This is a burden we can certainly meet. Thus, citing Occam's razor as a reason to adopt a single type of properties over a duality thereof, while an underwhelming argument for all-things-considered (*ultima facie*) physicalism, is a perfectly cogent argument for antecedent (*prima facie*) physicalism. Likewise, the inductive argument that physicalism turned out to be true about many other initially recalcitrant phenomenal (e.g., life) is underwhelming

7-22

as an argument for *ultima facie* physicalism but an overwhelming one for *prima facie* physicalism.<sup>29</sup>

Of course, *antecedent* materialist self-representationalism does allow that, given appropriate reasons, one might have to relinquish materialist self-representationalism. So if one were inclined to reject epistemically opaque reduction as incoherent, or as otherwise necessarily false, say for Chalmers and Jackson's reasons, one would have to reject this version of materialist self-representationalism. Thus someone who is impressed with both the weakened master argument for self-representationalism and the just more representation objection, but also accepts Chalmers and Jackson's argumentation, would be naturally led to dualist self-representationalism.

The dialectical upshot seems to me to be this. The issue of whether there is a case for self-representationalism and the issue of whether there is a case for materialism are orthogonal, since one can be a self-representationalist without being a materialist or a materialist without being a self-representationalist. The problem of the explanatory gap is relevant to the issue of whether there is a case for materialism, not to the issue of whether there is a case for self-representationalism. Given the "just more representation" consideration, epistemically transparent reduction of intransitive self-consciousness, and therefore of phenomenal consciousness, seems elusive. Whether *some* reduction may nonetheless be achieved depends on whether there is another kind of reduction to be had. That is, it depends on the general viability of epistemically opaque reduction (or whether it may sometimes make sense for us to believe that feature F reduces to feature G even though we cannot quite see how it could). However this debate is resolved will determine whether a self-representationalist ought to be a materialist self-representationalist or a dualist self-representationalist.<sup>30</sup>

#### Conclusion

In this paper, I have been concerned to establish two main claims. The first is that the explanatory gap between phenomenal consciousness and physical properties is at its core an explanatory gap between a certain mode of self-consciousness – intransitive self-consciousness – and neural activity (probably) in the dorsolateral prefrontal cortex. The

second is that the problem of the explanatory gap is not directly relevant to the issue of whether there is a case for self-representationalism: in a weakened form, the master argument for self-representationalism (presented in §1) does not require that the subjective character of experience, its intransitive self-consciousness, be *recovered* by self-representation, but only that it be *accommodated*.

To be sure, one might have wished that self-representationalism would neutralize the explanatory gap (that would certainly constitute a major advantage for the view). But this turns out to be unlikely. Although consideration of the sorites-like behavior of explanatory sequences inspires initial confidence, upon closer examination the prospects dim as the failure of reductive explanation of awareness in terms of representation comes to the fore.<sup>31</sup> My hesitant inclination, on the basis of the entire array of considerations examined here, is to adopt an antecedent materialist self-representationalism with epistemically opaque reduction.<sup>32</sup>

#### References

- Block, N.J. and R. Stalnaker 1999. "Conceptual Analysis, Dualism, and the Explanatory Gap." *Philosophical Review* 108: 1-46.
- Brentano, F. 1874. *Psychology from Empirical Standpoint*. Edited by O. Kraus. English edition L. L. McAlister. Translated by A. C. Rancurello, D. B. Terrell, and L. L. McAlister. London: Routledge and Kegan Paul, 1973.
- Buras, T. 2009. "An Argument Against Causal Theories of Mental Content." *American Philosophical Quarterly* 46: 117-130.
- Chalmers, D.J. 1996. *The Conscious Mind*. Oxford and New York: Oxford University Press.
- Chalmers, D.J. 2002. "Consciousness and its Place in Nature." In D.J. Chalmers (ed.), *Philosophy* of Mind: Classical and Contemporary Readings. Oxford and New York: Oxford University Press.
- Chalmers, D.J. and F.C. Jackson 2001. "Conceptual Analysis and Reductive Explanation." *Philosophical Review* 110: 315-361.
- Dretske, F.I. 1981. Knowledge and the Flow of Information. Oxford: Blackwell.
- Dretske, F.I. 1988. Explaining Behavior. Cambridge MA: MIT Press.
- Fiala, B. Ms. "The Phenomenology of Explanation and the Explanation of Phenomenology."

- Fiala, B. Forthcoming. *Explaining the Explanatory Gap*. PhD Dissertation (University of Arizona).
- Fodor, J.A. 1990. A Theory of Content and Other Essays. Cambridge MA: MIT Press.
- Horgan, T. and J. Tienson 2002. "The Intentionality of Phenomenology and the Phenomenology of Intentionality." In D.J. Chalmers (ed.), *Philosophy of Mind: Classical and Contemporary Readings*. Oxford and New York: Oxford University Press.
- Kriegel, U. 2003. "Consciousness as Intransitive Self-Consciousness: Two Views and an Argument." *Canadian Journal of Philosophy* 33: 103-132.
- Kriegel, U. 2004. "Consciousness and Self-Consciousness." The Monist 87: 182-205.
- Kriegel, U. 2005. "Naturalizing Subjective Character." *Philosophy and Phenomenological Research* 71: 23-57.
- Kriegel, U. 2009. Subjective Consciousness: A Self-Representational Theory. Oxford: Oxford University Press.
- Levine, J. 1983. "Materialism and Qualia: The Explanatory Gap." *Pacific Philosophical Quarterly* 64: 354-361.
- Levine, J. 1993. "On Leaving out What It's Like." In M. Davies and G. Humphreys (eds.), Consciousness: Psychological and Philosophical Essays. Oxford: Blackwell.
- Levine, J. 2001. *Purple Haze: The Puzzle of Consciousness*. Oxford and New York: Oxford University Press.
- Levine, J. 2006. "Awareness and (Self-)Representation." In U. Kriegel and K. Williford (eds.), Self-Representational Approaches to Consciousness. Cambridge MA: MIT Press.
- Loar, B. 1987. "Subjective Intentionality." *Philosophical Topics* 15: 89-124.
- Loar, B. 2003. "Phenomenal Intentionality as the Basis for Mental Content." In M. Hahn and B. Ramberg (eds.), *Reflections and Replies: Essays on the Philosophy of Tyler Burge*. Cambridge MA: MIT Press.
- Millikan, R.G. 1984. Language, Thought, and Other Biological Categories. Cambridge MA: MIT Press.
- Ney, A. 2008. "Physicalism as an Attitude." *Philosophical Studies* 138: 1-15.
- Perry, J. 2001. Knowledge, Possibility, and Consciousness. Cambridge MA: MIT Press.
- Pollock, J.L. and J. Cruz 1999. *Contemporary Theories of Knowledge* (second edition). Oxford: Rowman & Littlefield.
- Prinz, J. This volume. "Waiting for the Self."
- Rosenthal, D.M. 1990. "A Theory of Consciousness." ZiF Technical Report 40, Bielfield, Germany. Reprinted in Block et al. 1997.
- Rosenthal, D.M. 2005. Mind and Consciousness. Oxford: Oxford University Press.

 $^{2}$  The latter is a determinable of which the former is a determinate. As is common, what makes X the X it is is a determinate of what makes it an X at all.

<sup>3</sup> Here the 'because' must be understood as denoting a constitutive rather than causal explanation. That is, it is not the 'because' of "I am a bachelor because I never met the right woman," but the 'because' of "I am a bachelor because I am an unmarried man."

<sup>4</sup> For higher-order theory, see (most notably) Rosenthal 1990, 2005.

<sup>5</sup> The argument is in actuality a little more complicated than this, because there are in fact three possible views here of what makes mental states phenomenally conscious: (a) that each is represented by itself; (b) that each is represented by a numerically distinct state; (c) that some are represented by themselves and some by numerically distinct states. Ruling out (b) is thus insufficient. Ruling out (c) is part of the argument for (a). For details, see SC Ch. 4.

<sup>6</sup> Note that claiming that for-me-ness is phenomenologically manifest need not be the same as claiming that for-me-ness is *introspectively* manifest. In SC Ch.5, I argue that for-me-ness is actually not introspectible, even though it is phenomenologically manifest in a non-introspective manner. How exactly this could be is something I cannot go into here, but observe that it will address Prinz's (this volume) objection that he does not find any for-me-ness when he introspects his own phenomenal experience.

<sup>7</sup> A variation on this view would have it that I am self-consciously perceiving x iff (i) I am perceiving x and (ii) I am *disposed* to become self-conscious of doing so.

<sup>8</sup> Certainly the causal relation of "x causes y" is anti-reflexive, since nothing can cause its own occurrence, but other, subtler causal relations are often anti-reflexive as well, and as I argue in SC Ch.6, those adverted to by Dretske, Millikan, and Fodor in fact are.

<sup>9</sup> What the representation-transmission relation actually is is something that needs to be addressed by a full account; I discuss this matter, somewhat preliminarily, in SC Ch.6.

<sup>10</sup> One worry that arises immediately here is in what substantive way this subtle self-representation is different from standard higher-order representation: what exactly makes (non-conventionally) M1 and M2 two parts of a single mental state rather than two distinct mental states. I address this too in SC Ch.6, requiring a robust and psychologically real relation of cognitive unity between M1 and M2. (For more detail, see SC Ch.6.)

<sup>11</sup> In the first step, it is based on the first-person impression that motivates the conception of the structure of phenomenal character presented above; in the second and third steps, it is based on some kind of a priori reasoning; in the fourth step, on various forms of philosophical argumentation (namely, those that undermine higher-order theory); in the fifth step, on another kind of philosophical argumentation (from the prior commitment to naturalization); and in the sixth, by a combination of empirical and speculative

<sup>&</sup>lt;sup>1</sup> I explain what these qualifications mean toward the end of Chapter 4 of the book. Their exact nature will not matter here, so I will not go into it here. It does matter, however, that there *exist* such qualifications, meaning that not any old self-representation is supposed to be sufficient for phenomenal consciousness, only a specific variety. Prinz (this volume) objects to self-representationalism that self-representation cannot suffice for phenomenality, since the word 'word' represents itself but is not phenomenal. This specific example is actually discussed in SC Ch.4 by way of motivating one of the three qualifiers. For Prinz's objection to work, it would have to cite not just any old instance of non-phenomenal self-representation that is non-derivative, specific, and essential.

considerations. Note that with the exception of the very last step, all steps in this reasoning can be understood as broadly a priori.

<sup>12</sup> Again, compare the proof of Fermat's Last Theorem. Having read through the 100-page proof, one does not find oneself in a position to enjoy the experience of direct grasp of *why it should be* that  $x^n+y^n\neq z^n$  whenever *x*, *y*, and *z* are non-zero integers and *n*>2. But with sufficient acumen in Number Theory, one might just find oneself in a position to trust that the theorem does in fact hold.

<sup>13</sup> I should state that almost everything I know about the connection between the explanatory gap, on the one hand, and the nature of understanding and its phenomenology, on the other, I learned from my friend and student Brian Fiala (see Fiala Ms, Forthcoming).

<sup>14</sup> I am assuming here a tight connection between explanation and understanding. One possibility is that explanation be construed as that which produces an appropriate state of understanding. Another is to reverse the order of explication here and construe understanding as the state which an appropriate explanation is supposed to licit. Either way, we can treat explanation and understanding as correlatives.

<sup>15</sup> In terms of Chalmers' (2002) scheme for classifying positions on the metaphysics of consciousness (or rather in terms of a parallel scheme for positions on intransitive self-consciousness), denying Premise 1 is a form of type-A and materialism, denying Premise 2 a form of type-B materialism, and denying Premise 4 a form of type-F monism.

<sup>16</sup> This seems like a generally safe strategy, founded as it is on the widely recognized heuristic that we should avoid deriving ontological conclusions from exclusively epistemological premises. <sup>17</sup> Thus, a subject who is in a position to establish the identity of water and H<sub>2</sub>O is also in a position to

Thus, a subject who is in a position to establish the identity of water and  $H_2O$  is also in a position to explain the water facts in terms of the  $H_2O$  facts.

<sup>18</sup> We have here the reduction without reductive explanation – reduction in the face persistent explanatory gap – that is the hallmark of type-B materialism. But unlike typical type-B materialism, which embraces ontological reduction as brute and epistemically opaque, and is to that extent widely acknowledged to leave something to be desired, the present variety of type-B materialism offers epistemically transparent ontological reduction, and merely denies that epistemic transparency brings in its train epistemic reduction. We may distinguish between type-B1 and type-B2 materialism. The former is the more common variety, embracing epistemically opaque ontological reduction. The latter is the variety suggested by the present interpretation of the line of thought explored in this section, the variety that exploits the sorites-like behavior of reductive explanation. What I am proposing here is in effect a self-representational variety of type-B2 materialism.

<sup>19</sup> This is, in effect, a form of type-A materialism about intransitive self-consciousness.

<sup>20</sup> We can, of course, countenance a phenomenal notion of representation that casts some representations as inherently subjective (see Loar 1987, 2003; Horgan and Tienson 2002). With this phenomenal notion of representation, one could certainly account for our awareness of our conscious experiences in terms of their manner of representing themselves: they represent themselves *phenomenally*. But the result would be a kind of non-reductive self-representationalism. Conversely, there may be *a* notion of awareness that can be accounted for in ordinary representational terms – essentially, a *non-phenomenal* notion of awareness. However, the kind of awareness we have of our conscious experiences, in virtue of which they are "for us" in the relevant sense, is inherently phenomenal, being as it is a component of phenomenal character. So even if we account in self-representational terms for a *non-phenomenal* awareness, that would not help us account for the for-me-ness of conscious experience, since the latter is constituted by a *phenomenal* awareness. The upshot seems to be that while we can reductively explain the phenomenal kind of awareness in terms of a phenomenal kind of (self-)representation, and can reductively explain nonphenomenal awareness in terms of a non-phenomenal kind of (self-)representation, there appears to be no way to reductively explain phenomenal awareness in terms of a non-phenomenal kind of self-)representation. For appealing to a non-phenomenal notion of (self-)representation in the context of explaining the phenomenal notion of awareness falls prey to the just more representation objection.

<sup>21</sup> This is where the explanatory sequence is derailed, it is natural to suppose, because this is the step that happens to involve the attempt to transition from the phenomenal sphere to the non-phenomenal sphere. But the more general point is that *some* step in the explanatory sequence that leads from phenomenal consciousness to physical phenomena (such as synchronization with dlPFC activity) has to involve such a transition, and therefore *some* step in the explanatory sequence is bound to fail..

7-28

<sup>22</sup> The deep reason for this failure seems to be that while reductive explanation may exhibit sorites-like behavior, phenomenality does not: there is no way to get from the non-phenomenal to the phenomenal in a series of sorites-like steps. Because of this, some step in any relevant explanatory sequence must involve a discrete leap from the non-phenomenal to the phenomenal. Whatever step that is, we can expect reductive explanation to fail there, due to the explanatory gap, thus vitiating the sequence of reductive explanations that enables an epistemically transparent reduction. Of course, if phenomenality *could* be shown to exhibit sorites-like behavior, then the worry would dissipate and the self-representational approach to the explanatory gap might be viable after all. It is just that the possibility of going from something non-phenomenal to something phenomenal in a sorites-like series of steps seems on its face rather implausible.

<sup>23</sup> In terms of Chalmers' framework and the additions made to it in notes to §3, the kind of type-B2 self-representationalism I would like to have is only one variety, others being type-B1, type-A, type-F and dualist self-representationalism.

<sup>24</sup> Given the distinction between phenomenal and non-phenomenal notions of (self-)representation, dualist self-representationalism can come in two varieties. The first combines metaphysical supervenience of intransitive self-consciousness upon self-representation, construed phenomenally, with nomological supervenience of self-representation, so construed, upon microphysical properties. The second, more interesting variety combines nomological supervenience of phenomenal consciousness upon selfrepresentation, construed non-phenomenally, with metaphysical supervenience of self-representation, so construed, upon microphysical properties. In the former, the primitive laws of nature connect microphysical properties with a phenomenal self-representation, which is seen to be part of the phenomenal structure of consciousness. In the latter, there is a kind of self-representation that is fully reducible to the microphysical, and it is only this kind of self-representation that *causally* brings about phenomenal consciousness, in accordance with some primitive laws of nature. Thus, a type-E (say) dualist, who holds that phenomenal properties are causally inert, could be a self-representationalist, and in two kinds of ways. Type-E1 selfrepresentationalism is the view that self-representation is part of the phenomenal structure of consciousness, which nomologically supervenes on the microphysical. Type-E2 self-representationalism is the view that a microphysically reducible self-representation is the causal basis (hence nomological supervenience base) of phenomenal consciousness.

<sup>25</sup> I use the term 'neutralizes' to cover two possibilities: that the explanatory gap is bridged, and that the explanatory gap becomes something we can live with, say because we have an epistemically transparent reduction that illuminates why there is an explanatory gap, as in the approach to the explanatory gap sketched in §2. Thus both type-A and type-B2 materialism "neutralize" the explanatory gap, even though only the former *bridges* it (or "closes" it).

<sup>26</sup> This is what I call above Type-B1 materialism, the view that insists on the ontological reducibility of consciousness to physics but accepts that the reduction is epistemically opaque and leaves the explanatory gap untouched. As Chalmers and Jackson (2001) note, the metaphysical supervenience it posits between consciousness and physics is as epistemically primitive as the nomological supervenience posited by dualism: there is no explanation of it, merely brute assertion.

<sup>27</sup> More specifically, this would be antecedent type-B1 materialism.

 $^{28}$  This is true of Ney's physicalism-as-an-attitude because the latter is not truth-apt, and argumentation – in the relevant sense, involving the notion of validity (where true premises would necessitate true conclusion) – is only called for where a truth-apt statement is at stake.

<sup>29</sup> This seems to be the view that Levine should adopt as well. Since he endorses the thought of embracing ontological reduction without epistemic reduction (Levine 1993), and at the same time seems to hold that self-representationalism comes closest to meeting the explanatory burden of physicalism and is the climax of physicalist attempts to address the explanatory gap (Levine 2001), he should certainly embrace something like antecedent type-B self-representationalism (of one of the two kinds).

<sup>30</sup> It is worth keeping in mind that, given antecedent physicalism, in this debate the physicalist is playing defense and the dualist offense. Thus as long as the debate is unresolved we are free to adopt the physicalist position.

<sup>31</sup> Nonetheless, there may yet be hope for a materialist variety of self-representationalism, namely, if either epistemically opaque reduction turned out to be possible or phenomenal consciousness turned out to exhibit the sorites-like behavior that reductive explanation does. The former would prop up what I called type-B1 self-representationalism, the latter what I called type-B2 self-representationalism.

<sup>32</sup> For comments on a previous draft, I am greatly indebted to JeeLoo Liu and Brian Fiala, ongoing interaction with whom has influenced the paper. I am also grateful to Shaun Nichols for another set of comments on an earlier draft and have benefited from interactions with Stephen Biggs, David Chalmers, Jennifer Corns, Angela Coventry, and Sebastian Watzl.